

MEDICAID SECTION 1115 SUBSTANCE USE DISORDER DEMONSTRATIONS

Summary of Updates to the Technical Specifications for Monitoring Metrics (Version 5.0)

September 2022

This document provides a summary of updates to the section 1115 substance use disorder (SUD) metrics technical specifications manual, released in September 2022 (Version 5.0). This release reflects annual updates from national measure stewards of established quality measures included in the SUD metrics. Additionally, this release incorporates clarifications and improvements to metrics reporting guidance and specifications for CMS-constructed metrics, reflecting the valuable feedback shared by states during review and use of the technical specifications manual.

CMS will update the technical specifications manual annually to provide current specifications and value sets. As described in the technical specifications manual, Table 1 below outlines the manual versions the state should use:

Table 1. Manual versions for section 1115 SUD metrics

Manual version	Measurement period for CMS-constructed metrics ^a	Measurement period for established quality measures (calendar year) ^b
Version 1.1	-	2017
Version 2.0	-	2018
Version 3.0	-	2019
Version 4.0	-	2020
Version 5.0	The state should use the latest version of the manual available ^c	2021

^a For retrospective reporting of CMS-constructed metrics, the state should always use the latest version of the manual available.

^b For retrospective reporting of established quality measures, the state should use the manual version that applies to the appropriate measurement period. For example, if a state is retrospectively reporting for calendar year 2019, the state should use Version 3.0 of the section 1115 SUD technical specifications manual.

^c The state should use any newly released version of the manual as soon as feasible, but no later than two quarters after the release of the latest version.

If the state has a quarterly or annual report due soon after the release of the updated technical specifications manual, it should discuss the timing for using the updated manual by contacting the CMS demonstration team, copying the section 1115 demonstration monitoring and evaluation mailbox (1115MonitoringandEvaluation@cms.hhs.gov) on the message.

This document provides a list of updates to the technical specifications manual for Version 5.0. Updates are listed in the order they appear in the manual, with metric-specific updates beginning on page 4.

Title Page

- Updated the technical specifications date and manual version

Table of Contents

- Updated the reference to the FFY for Appendix D

Tables

- Removed the word “monitoring” from table titles

Metrics

- Updated the metric names for Metrics #17(1) and #17(2) in Table 4 to add age distinction

Acronyms

- Added the acronym “MY” defined as “Measurement Year”

License Agreements and Acknowledgements

- Updated section to conform with latest license agreement language

I. Background and Introduction

A. Overview of section 1115 SUD Metrics

- Updated link in Table 3 footnotes for accessing the T-MSIS data dictionary
- Updated example dates referenced in Table 3 footnotes to account for annual update
- Updated Table 3 to point to the MACBIS Pregnancy Code List available online rather than in the supporting information zip file
- Removed the word “monitoring” from table titles
- Updated references from “HEDIS MY 2020” to “HEDIS MY 2021” to align with the HEDIS Measurement Year (MY) 2021 measure specifications and value sets
- Updated the data source(s) for Metric #15 to include EHR data
- Updated the metric names for Metrics #17(1) and #17(2) in Table 4 to add age distinction
- Updated the measurement period for Metric #32 in Table 4 to correct a typo from the Version 4 manual
- Added suggestion to report metrics by geographic area as a sub-bullet under “State-specific subpopulation categories”

B. Reporting guidance for section 1115 SUD monitoring metrics

- Updated instructions on contact information for technical assistance mailbox
- Updated the technical specifications manual version

- Updated instructions on where to access the 1115 SUD Monitoring Metrics Supporting Information zip file
- Removed the word “monitoring” from table titles
- Updated references from “HEDIS MY 2020” to “HEDIS MY 2021” to align with the HEDIS Measurement Year (MY) 2021 measure specifications and value sets
- In the section of determining measurement periods, added guidance on determining measurement periods in cases where the demonstration is a component of a broader demonstration
- Shifted example dates in guidance on determining measurement and baseline reporting periods and in Table 5 forward one year to account for annual update
- Renamed “baseline reporting period” to “baseline period”
- Added definition of the “reporting period”
- Added “and annual” to the first bullet point outlining guidelines for including metrics and narrative information in monitoring reports
- Added Version 5.0 of the manual to Table 7 to account for annual update
- Clarified guidance on eligible population inclusion and exclusion criteria for all metrics
- Updated the name of references to column P in Part A (monitoring protocol workbook) of states’ monitoring protocol submissions

C. Using technical specifications

- No updates

II. Metric Specifications

Overall changes

- Updated specifications, value set codes, and table source information to HEDIS MY 2021 for all HEDIS measures and CMS-constructed measures using HEDIS value sets or medication lists
- Updated all metrics, value sets, code list names, instructions, and hyperlinks with current information
- Updated language for certain HCPCS codes to align with most recent code descriptions available
- Removed the phrase “accompanying this manual” in reference to the supporting materials to clarify that the supporting information file can be found in the Reference Materials section on PDMA
- Updated the name of references to column P in Part A (monitoring protocol workbook) of states’ monitoring protocol submissions

Metric #1: Assessed for SUD Treatment Needs Using a Standardized Screening Tool

- No updates

Metric #2: Medicaid Beneficiaries with Newly Initiated SUD Treatment/Diagnosis

- Added two telehealth and virtual communication HCPCS codes (G2250 and G2251), three telephone E&M CPT codes (99441, 99442, and 99443), one online assessment CPT code (99444), and one telehealth place of service code (10) to the numerator specifications
- Revised additional guidance to reflect the addition of codes
- Revised additional guidance instructions for accessing and using the NCQA Medication List Directory

Metric #3: Medicaid Beneficiaries with SUD Diagnosis (monthly)

- Added language to numerator specifications clarifying that the state should include a 11-month lookback period when identifying beneficiaries with OUD for the OUD subpopulation
- Added two telehealth and virtual communication HCPCS codes (G2250 and G2251), three telephone E&M CPT codes (99441, 99442, and 99443), one online assessment CPT code (99444), and one telehealth place of service code (POS 10) to the numerator specifications
- Revised additional guidance to reflect the addition of codes
- Revised additional guidance instructions for accessing and using the NCQA Medication List Directory

Metric #4: Medicaid Beneficiaries with SUD Diagnosis (annually)

- Added language to numerator specifications clarifying that the state should include a 12-month lookback period when identifying beneficiaries with OUD for the OUD subpopulation
- Added two telehealth and virtual communication HCPCS codes (G2250 and G2251), three telephone E&M CPT codes (99441, 99442, and 99443), one online assessment CPT code (99444), and one telehealth place of service code (POS 10) to the numerator specifications
- Revised additional guidance to reflect the addition of codes
- Revised additional guidance instructions for accessing and using the NCQA Medication List Directory

Metric #5: Medicaid Beneficiaries Treated in an IMD for SUD

- No updates

Metric #6: Any SUD Treatment

- Added two telehealth and virtual communication HCPCS codes (G2250 and G2251), three telephone E&M CPT codes (99441, 99442, and 99443), one online assessment CPT code (99444), two telehealth place of service codes (2 and 10), and the Visit Setting Unspecified and Telehealth POS Value Sets to the numerator specifications
- Revised additional guidance language to reflect the addition of codes
- Revised additional guidance instructions for accessing and using the NCQA Medication List Directory

Metric #7: Early Intervention

- No updates

Metric #8: Outpatient Services

- Added two telehealth and virtual communication HCPCS codes (G2250 and G2251), three telephone E&M CPT codes (99441, 99442, and 99443), one online assessment CPT code (99444), two telehealth place of service codes (2 and 10), and the Visit Setting Unspecified and Telehealth POS Value Sets to the numerator specifications
- Revised the additional guidance language to reflect the addition of codes

Metric #9: Intensive Outpatient and Partial Hospitalization Services

- No updates

Metric #10: Residential and Inpatient Services

- Clarified the claims to include in Step 1 when identifying claims for residential treatment

Metric #11: Withdrawal Management

- No updates

Metric #12: Medication-Assisted Treatment

- Revised additional guidance instructions for accessing and using the NCQA Medication List Directory

Metric #13: SUD Provider Availability

- No updates

Metric #14: SUD Provider Availability – MAT

- No updates

Metric #15: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment (IET-AD)

- Added EHR as a data source

Metric #16: SUB-3 Alcohol and Other Drug Use Disorder Treatment Provided or Offered at Discharge, SUB-3a Alcohol and Other Drug Use Disorder Treatment at Discharge

- Updated hyperlink and instructions for accessing the established quality measure manual for The Joint Commission National Quality Measures Manual version 2021B2

Metric #17(1): Follow-up after Emergency Department Visit for Alcohol and Other Drug Abuse or Dependence: Age 18 or Older (FUA-AD)

- Updated metric name with age distinction

Metric #17(2): Follow-up after Emergency Department Visit for Mental Illness: Age 18 and Older (FUM-AD)

- Updated metric name with age distinction

Metric #18: Use of Opioids at High Dosage in Persons without Cancer (OHD-AD)

- Added palliative care as an exclusion

Metric #19: Use of Opioids from Multiple Providers in Persons without Cancer (OMP)

- No updates

Metric #20: Use of Opioids at High Dosage and from Multiple Providers in Persons without Cancer (OHDMP)

- No updates

Metric #21: Concurrent Use of Opioids and Benzodiazepines (COB-AD)

- Added palliative care as an exclusion

Metric #22: Continuity of Pharmacotherapy for Opioid Use Disorder

- No updates

Metric #23: Emergency Department Utilization for SUD per 1,000 Medicaid Beneficiaries

- Revised the relationship to other metrics to clarify that the denominator for the OUD subpopulation for Metric #23 is the same as the numerator for the OUD subpopulation in Metric #3

Metric #24: Inpatient Stays for SUD per 1,000 Medicaid Beneficiaries

- Revised the additional guidance section to correct a typo in Version 4.0 and clarify that stays that occur in a residential setting should not be included in the numerator
- Revised the relationship to other metrics to clarify that the denominator for the OUD subpopulation for Metric #24 is the same as the numerator for the OUD subpopulation in Metric #3

Metric #25: Readmissions among Beneficiaries with SUD

- No updates

Metric #26: Drug Overdose Deaths (count)

- No updates

Metric #27: Overdose Deaths (rate)

- No updates

Metric #28: SUD Spending

- Added three telephone E&M CPT codes (99441, 99442, and 99443), one online assessment CPT code (99444), two early intervention CPT codes (99408 and 99409), and two telehealth place of service codes (2 and 10) to the numerator specifications
- Revised additional guidance language to reflect the addition of codes
- Revised additional guidance instructions for accessing and using the NCQA Medication List Directory

Metric #29: SUD Spending Within IMDs

- No updates

Metric #30: Per Capita SUD Spending

- Added two telehealth and virtual communication HCPCS codes (G2250 and G2251), three telephone E&M CPT codes (99441, 99442, and 99443), one online assessment CPT code (99444), and one telehealth place of service code (10) to the numerator specifications
- Revised additional guidance language to reflect the addition of codes
- Revised additional guidance instructions for accessing and using the NCQA Medication List Directory

Metric #31: Per Capita SUD Spending within IMDs

- No updates

Metric #32: Access to Preventive/Ambulatory Health Services for Adult Medicaid Beneficiaries with SUD

- No updates

Metric #33: Grievances Related to SUD Treatment Services

- No updates

Metric #34: Appeals Related to SUD Treatment Services

- No updates

Metric #35: Critical Incidents Related to SUD Treatment Services

- No updates

Metric #36: Average Length of Stay in IMD

- No updates

Appendix A: Established Measures and Measure Sets Referenced in Technical Specifications

- Updated Table A.1 to reflect updates to measure set versions
- Updated the metric names for Metrics #17(1) and #17(2) in Table A.1 to add age distinction

Appendix B: Value Sets and Code Lists Referenced in Metric Specifications

- Updated list of value sets to metrics to reflect most recent metric calculation instructions
- Updated the metric names for Metrics #17(1) and #17(2) in Table B.1 to add age distinction
- Updated file name for the MACBIS Ever Pregnant Code List to direct the state to Appendix C for access instructions
- Added the palliative care value set

Appendix C: How to Use Supporting Measure Specifications, Value Sets, and Code Lists to Calculate Metrics

- Updated all metric, value set, code list names, access instructions, and hyperlinks with current information
- Revised instructions for accessing and using the NCQA Medication List Directory
- Updated the metric names for Metrics #17(1) and #17(2) in Table C.1 to add age distinction

- Provided updated access instructions for established quality measures that use The Joint Commission specifications
- Revised instructions for accessing and using the MACBIS Pregnancy Code List

Appendix D: Technical Specifications for Established Quality Measures Adapted from FFY 2022 Adult Core Set Measure Specifications

- Updated references to the version of the specification from Federal Fiscal Year (FFY) 2021 to FFY 2022
- Shifted example dates forward one year to account for annual update
- Updated instructions on where to access the 1115 SUD Monitoring Metrics Supporting Information zip file
- Added guidance that states should exclude beneficiaries who die during the measurement year if the state can identify these beneficiaries. The state should attempt to remove these beneficiaries as it determines the eligible population. This guidance applies to the following HEDIS measures in the Adult Core Set: FUA-AD, FUM-AD, and IET-AD.
- Updated the metric names for Metrics #17(1) and #17(2) in Table D.1 to add age distinction
- Revised instructions for accessing and using the NCQA Medication List Directory
- Updated all hyperlinks with current information

Metric #15: Initiation and Engagement of Alcohol and Other Drug Abuse or Dependence Treatment (IET-AD)

- No updates

Metric #17(1): Follow-up after Emergency Department Visit for Alcohol and Other Drug Abuse or Dependence: Age 18 and Older (FUA-AD)

- Updated metric name with age distinction

Metric #17(2): Follow-up after Emergency Department Visit for Mental Illness: Age 18 and Older (FUM-AD)

- Updated metric name with age distinction

Metric #18: Use of Opioids at High Dosage in Persons Without Cancer (OHD-AD)

- Added palliative care as an exclusion
- Clarified the opioid value set to use for this metric

Metric #21: Concurrent Use of Opioids and Benzodiazepines (COB-AD)

- Added palliative care as an exclusion
- Clarified the opioid value set to use for this metric

Appendix E: Additional Guidance for Section 1115 SUD Service Utilization Metrics #7-12

- No updates